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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/001,494
Filing Date	10/31/2001
First Named Inventor	Roberto A. Capodieci
Group Art Unit	1761
Examiner Name	Unassigned
Attorney Docket Number	12598US03

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement & 1449	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks Form 1449 and copies of all 139 references cited on Form 1449 are enclosed.

RECEIVED
SEP 17 2002
TC 1700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	McAndrews Held & Malloy, Ltd.
Signature	
Date	September 11, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: September 11, 2002.

Name (Print/type)	Joseph M. Barich	Registration No. (Attorney/Agent)	42,291
Signature		Date	09/11/2002



PTO-95B/17 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known	
Application Number	10/001,494
Filing Date	10/31/2001
First Named Inventor	Roberto A. Capodieci
Examiner Name	Unassigned
Group Art Unit	1761
Attorney Docket No.	12598US03

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	13-0017
Deposit Account Name	McAndrews, Held & Malloy, Ltd.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
 See 37 CFR 1.27

2. Payment Enclosed:

- Check Credit Card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	180.00
				Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application
				Other fee (specify) Y _____

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	- 20** =	x	=
Independent Claims	- 3** =	x	=
Multiple Dependent		=	

Large Entity Small Entity

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Joseph M. Barich	Registration No. (Attorney/Agent)	42,291	Telephone	312/775-8000
Signature	<i>Joseph M. Barich</i>			Date	September 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12598US03)

Attorney Docket No. 12598US03

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SEP 17 2002

TC 1700

In the Application of:

Roberto A. Capodieci

Serial No. **10/001,494**

Filed: **October 31, 2001**

For: **Ultrasonically Activated
Continuous Slitter
Apparatus and Method**

Examiner: **Unassigned**

Group Art Unit: **1761**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231 on this 11th day of September, 2002.

By:

Joseph M. Barch
Joseph M. Barch
Reg. No. 42,291

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application:

<u>U.S. PATENT NO.</u>	<u>DATE</u>	<u>INVENTOR(S)</u>
2145941	2/7/1939	Maxfield
3029751	4/17/1962	Gilmore
3031804	5/1/1962	Thatcher et al.
3044510	7/17/1962	Schneider et al.
3114643	12/17/1963	Boston et al.
3199437	8/10/1965	Nelsen
3407077	10/22/1968	Helin

Attorney Docket No. 12598US03

3416398	12/17/1968	Bodine
RE26543	3/11/1969	Beeson et al.
3434906	3/25/1969	De Greeve et al.
3505136	4/7/1970	Attwood
3615712	10/26/1971	Keller
3636859	1/25/1972	Null
3819089	6/25/1974	Scales
3829007	8/13/1974	Ellison
3830944	8/20/1974	Dimitriadis et al.
3873735	3/25/1975	Chalin et al.
3895118	7/15/1975	Rambold
3961089	6/1/1976	Dogliotti
3971838	7/27/1976	Yazawa
4017237	4/12/1977	Webster
4055109	10/25/1977	Kan
4097327	6/27/1978	Calemand
4115489	9/19/1978	Macfee
4163768	8/7/1979	Stephens
4216639	8/12/1980	Gautier
4290521	9/22/1981	Mitchell
4358466	11/9/1982	Stevenson
4361235	11/30/1982	Gautier
4373982	2/15/1983	Kreager et al.
4394395	7/19/1983	Rostagno et al.
4421773	12/20/1983	Akutagawa
4453370	6/12/1984	Titchenal
4500280	2/19/1985	Astier et al.
4514426	4/30/1985	Jordan et al.
4517790	5/21/1985	Kreager
4521467	6/4/1985	Berger
4534726	8/13/1985	Simelunas
4534818	8/13/1985	Kreager et al.
4574566	3/11/1986	Eaves et al.

Attorney Docket No. 12598US03

4601157	7/22/1986	Adelman
4605123	8/12/1986	Goodrum et al.
4608261	8/26/1986	MacKenzie
4616470	10/14/1986	Nakamura
4631901	12/30/1986	Chung et al.
4651870	3/24/1987	Giambalvo
4652456	3/24/1987	Sailsbury
4663915	5/12/1987	Van Erden et al.
4663917	5/12/1987	Taylor et al.
4685602	8/11/1987	Hama
4693056	9/15/1987	Raszewski
4735753	4/5/1988	Ackermann
4751916	6/21/1988	Bory
4759170	7/26/1988	Sawa et al.
4759249	7/26/1988	Held
4774797	10/4/1988	Colamussi et al.
4784591	11/15/1988	Ackermann
4787755	11/29/1988	Branson
4796300	1/3/1989	Branson
4810109	3/7/1989	Castel
4849233	7/18/1989	Hemker
4864802	9/12/1989	D'Angelo
4865680	9/12/1989	Pierson
4879124	11/7/1989	Oberle
4890439	1/2/1990	Smart et al.
4909870	3/20/1990	Gould et al.
4923701	5/8/1990	VanErden
4937410	6/26/1990	Anderson
4950859	8/21/1990	Anderson
5044777	9/3/1991	Watkins et al.
5058364	10/22/1991	Seiden et al.
5061331	10/29/1991	Gute
5067302	11/26/1991	Boeckmann

Attorney Docket No. 12598US03

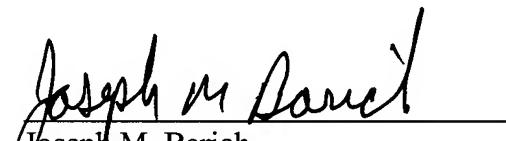
5161350	11/10/1992	Nakamura
5181365	1/26/1993	Garvey et al.
5202064	4/13/1993	Furusawa et al.
5222813	6/29/1993	Kopp et al.
5226343	7/13/1993	Rawson et al.
5228372	7/20/1993	Harrop et al.
5230761	7/27/1993	Crawford
5342634	8/30/1994	Murata et al.
5366741	11/22/1994	Van Der Zon
5391387	2/21/1995	Peters
5419437	5/30/1995	Huseman
5435712	7/25/1995	Probst
5437215	8/1/1995	Hamilton
5552165	9/3/1996	Haak et al.
5645681	7/8/1997	Gopalakrishna et al.
5667824	9/16/1997	Ream et al.
5689942	11/25/1997	Suga
5694745	12/9/1997	Spatafora et al.
5706635	1/13/1998	Simmons
5733587	3/31/1998	Ream et al.
5752423	5/19/1998	Rawson
5819615	10/13/1998	Dale et al.
5827559	10/27/1998	Powell
5846584	12/8/1998	Capodieci
5861185	1/19/1999	Capodieci
5863585	1/26/1999	Sjöberg
5871783	2/16/1999	Capodieci
5871793	2/16/1999	Capodieci
5914142	6/22/1999	Zartner
5928695	7/27/1999	Capodieci
6032561	3/7/2000	Lonn et al.
6068868	5/30/2000	Capodieci
6143336	11/27/2000	Capodieci

6210728	4/3/2001	Capodieci
6231330	5/15/2001	Capodieci
6318248	11/20/2001	Capodieci
6361609	3/26/2002	Ouellette et al.
6368647	4/9/2002	Capodieci
6403132	6/11/2002	Capodieci
6431849	8/13/2002	Capodieci
GB 952 581	3/18/1964	GB
GB 1 013 665	12/15/1965	GB
JP 55-154119	12/1/1980	JP Japan
DE 29 22 834 A1	12/11/1980	DE Germany
DE 30 34 955 A1	3/25/1982	DE Germany
JP 57-91164	6/7/1982	JP
EP 0 084 903 A2	8/3/1983	EP Europe
GB 2 117 350 A	10/12/1983	GB
GB 2 171 077 A	8/20/1986	GB
AT 382 112 B	1/12/1987	AT Austria
DE 88 09 048	10/13/1988	DE Germany
JP 63-315223	12/22/1988	JP Japan
GB 2 219 245 A	12/6/1989	GB.
EP 0 333 390 A2	9/20/1989	EP Europe
JP 03-158227	7/8/1991	JP Japan
DE 40 17 363 A1	12/5/1991	DE
FR 2 665 683 A1	2/14/1992	FR France
EP 0 478 812 A1	4/8/1992	EP
EP 0 584 670	3/2/1994	EP Europe
GB 2 276 138 A	9/21/1994	GB
EP 0 499 647 B1	1/4/1995	EP
GB 2 283 007 A	4/26/1995	GB
EP 0 561 654 B1	1/10/1996	EP
DE 195 05 298 A1	8/22/1996	DE Germany
DE 197 16 141 A1	10/30/1997	DE
WO 00/20191	4/13/2000	PCT

The above-identified reference(s) are listed on the attached PTO Form 1449 and copies are also enclosed herewith. This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

Respectfully submitted,



Joseph M. Barich
Registration No. 42,291
Attorney for Applicant

September 11, 2002

Date

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